Our understanding of disorder is questioned with the discovery, on extending measurement ranges, that the acoustic properties of polycrystalline metals resemble those of glasses, for which significant deviations from predictions of the standard tunnelling model are also found.

Low-Temperature Acoustic Properties of Glasses and Polycrystals

P. Esquinazi, R. König, F. Pobell
Physikalisches Institut, Universität Bayreuth, Germany

Glasses exhibit low-temperature properties which are distinctively different to those of crystals [1]. For instance, the heat capacity below 1 K in the glassy state is substantially larger and has a different temperature dependence than in the crystalline state. The thermal conductivity in the glassy state is also much smaller and has a different temperature dependence. Other characteristic features of glasses are the temperature dependence of the velocity of sound \( v \) and of the attenuation of sound waves (given by the internal friction \( Q \)), both of which have been studied extensively at temperatures on the order of 1 K.

All the low-temperature properties of glasses have been successfully explained in terms of the phenomenological "two-level systems tunnelling model" [3]. It assumes that atoms or groups of atoms have more than one site in a disordered lattice, and that these sites are separated by energy barriers. At low temperatures, atoms or groups of atoms can tunnel through the potential wells separating their possible sites. In the simplest version of the model, only the tunnelling between the ground states of a double-well potential is considered. In glasses, owing to the random disorder, it is assumed that there is a wide distribution of tunnelling energies (leading to a constant density of tunnelling states) and a very wide distribution of relaxation times (experimentally observed to range from \( 10^{-9} \) to \( 10^{6} \) s).

Several Issues

Low-energy tunnelling excitations and their interactions with phonons and conduction electrons determine the low-temperature properties of amorphous solids. There is a resonant interaction when acoustic phonons and the tunnelling systems have the same energy, and a relaxation interaction when the sound wave disturbs the equilibrium population of the tunnelling states. The observed acoustic properties of glasses at temperatures between 20 mK and 1 K (Fig. 1) largely agree with the predictions of the tunnelling model for these two interactions. For example, in a dielectric glass such as amorphous silica (a-SiO\(_2\)), a maximum in the relative velocity of sound \( \Delta v/v \) arises at a temperature \( T_{\text{max}} \) when the contribution due to the resonant interaction is just compensated by the contribution of the relaxation interaction. The rate of the logarithmic decrease with temperature of \( v \) above \( T_{\text{max}} \) is about 0.5 times the rate of the logarithmic decrease of \( v \) below \( T_{\text{max}} \) (Fig. 1a). Secondly, the measured 1/Q value corresponding to the plateau in the sound attenuation (Fig. 1b) agrees with the value calculated from the gradients of \( v \) above and below \( T_{\text{max}} \) using the tunnelling model. Indeed, the presence of a temperature-independent plateau in the attenuation, as well as a maximum and then a logarithmic temperature dependence of the velocity of sound over a wide temperature range, are crucial tests of the basic assumptions of the tunnelling model for the density of states and relaxation times of the tunnelling excitations.

The situation in amorphous metals is not as clear as in dielectric glasses. In amorphous PdSiCu, for example, the temperature dependence of \( v \) below \( T_{\text{max}} \) does not depend on the frequency, in perfect agreement with the tunnelling model [2]. However, this remarkable effect has not been observed in other amorphous metals, where disagreement is found between measurements and the predictions of the accepted theory for both acoustic properties [4]. This disagreement is pronounced for a-PdSiCu at very low temperatures [5].

Aside from the general remark that in spite of much work, little is known about the microscopic nature of the tunnelling systems in disordered materials, we are especially interested in understanding low-energy excitations in these materials, and the interaction of the excitations with phonons and conduction electrons. The critical issue is to establish whether or not the low-frequency acoustic properties of dielectric and metallic glasses at very low temperatures can still be described by the standard tunnelling model. Other unsolved questions concern the possibility of a low-energy limit for the density of tunnelling states in glasses and the differences between a glass and a disordered polycrystal. For instance, can simple, polycrystalline metals show indications of a glass-like acoustic behaviour such as that found [2, 6] in some orientationally or compositionally disordered dielectric crystals, as well as in various alloys.

Ultra-Low Temperature Experiments

Using the vibrating reed [2, 5] and the vibrating wire [5] techniques in combination with nuclear refrigeration, we have extended...
the low-temperature limit, and thus the energy scale for investigating acoustic properties of solids, by two orders of magnitude (the experiments were at frequencies ω/2π between 100 Hz and 8 kHz with temperatures between 40 µK and 1 K). The advantages of low-frequency acoustic investigations of glasses have been discussed by Hunklinger and Raychaudhuri [2], and details of samples, experimental methods, and thermalization of the samples during acoustic experiments at ultra-low temperatures, as well as a discussion of non-linear acoustic properties, are covered in several recent publications [5].

Measurements at the ultra-low temperatures were performed in a nuclear refrigeration facility [7] where the sample holders for the vibrating reeds or vibrating wires were screwed to the upper flange of a nuclear refrigeration stage made from copper. Temperatures were determined using a platinum NM R thermometer connected to the flange. In order to minimize internal heating, vibration amplitudes at the surface of the reed at the clamped end were typically of the order of 10 nm corresponding to a maximum strain ε of -10⁻⁷; the dissipated energy in the samples is typically some 10⁻¹⁵ W [5]. Under these conditions, a-SiO₂ shows a reversible, fast thermal response down to 600 µK with essentially no difference in temperature compared to the temperature indicated by the thermometer. However, below approximately 300 µK the temperature of the sample is not known anymore.

For samples of polycrystalline metals such as Ag and Cu, thermal hysteresis was not observed even at 40 µK. Owing to the higher resonance frequencies for the vibrating wire experiments compared to the vibrating reed measurements, and to the low thermal conductivities of thin, superconducting wires (diameters typically 20-150 µm), internal heating due to dissipation limited measurements on Al, Ta, Nb, and NbTi wires to above several mK.

**Testing the Tunnelling Model**

Fig. 2 shows Δv/v as a function of temperature for a-SiO₂ at three different frequencies. The overall behaviour observed above 20 mK is typical of amorphous dielectrics and is consistent with predictions of the standard tunnelling model. However, below 10 mK and at 400 Hz we observe strain-dependent deviations from the logarithmic decrease of v. Moreover, there are two experimental results which disagree with expectations, namely a shift of Tmin with strain and saturation of v at low temperatures. One should note that the dependence of the velocity of sound on the acoustic intensity (or strain) is opposite to that which would be expected if heating of the sample was responsible for the effect.

The strain-dependent behaviour of the velocity of sound is observed when the energy of the sound waves is of the order of the thermal energy k_BT, where k_B is Boltzmann’s constant. We have been able to describe the observed “anomalies” of v by introducing a change in the population number of the tunnelling states created by the waves. The non-linear behaviour of the velocity of sound results from a dependence of the population number of the energy states of the tunnelling systems on the strain. Consistent with this explanation is the observation that, in the temperature range of the measurements, of the sound attenuation on the strain is much smaller because the attenuation only depends on the relaxation interaction between phonons and thermal scattering in our frequency range; this was indeed observed. However, the attenuation 1/Q followed closely a linear dependence on T at temperatures below those for the plateau, in disagreement with the prediction 1/Q ~ T² of the tunnelling model.

An important theoretical result of Parshin [8], namely a logarithmic dependence of Δv/v on the time of the experiment, has also been confirmed by us more recently.

**Glassy Dielectrics versus Crystalline Superconductors**

Measurements [4] at temperatures well below the critical temperature for superconductivity have shown that the acoustic properties of amorphous superconductors resemble those of amorphous dielectrics because the number of normally conducting electrons is negligible in both cases. We wanted to investigate whether the acoustic properties of superconducting crystalline materials could be compared with those of amorphous dielectrics.

Fig. 3 shows Δv/v as a function of temperature for three different polycrystalline superconductors (Nb, NbTi, Ta) along with the data of Fig. 2 for a-SiO₂. The variations of Δv/v as well as those of the sound attenuation [5] match those of the amorphous dielectrics; even the strain dependence of Δv/v of the crystals is the same for a-SiO₂ as long as self-heating effects are negligible. The saturation of v below 5 mK observed for Nb could be related to the phenomenon which saturates v in a-SiO₂ (Fig. 2). We exclude sample heating effects since data for Nb wires in a Cr matrix, which greatly enlarges the thermal conductivity of the sample, show the same behaviour.

Applying the tunnelling model we obtain, from the logarithmic slopes of the velocity of sound and from the plateau of the attenuation, values for the tunnelling density of states of Nb, NbTi and Ta which are comparable to those found for a-SiO₂ and a-PdSiCu [5].

Other clear-cut experimental evidence which speaks for a similar energy dependence of relaxation times of tunnelling systems in polycrystals is the frequency dependence of the onset of the plateau in 1/Q. According to the standard tunnelling model, this onset should follow a 1/T² dependence, as has been observed by us in NbTi.

**Fig. 3** — The relative change of the velocity of sound in a-SiO₂ and the crystalline superconductors Nb, NbTi and Ta at 0.40 kHz, 1.5 kHz, 0.37 kHz, and 5.5 kHz, respectively, demonstrates the similarity between these materials. The Δv/v scale for Nb (Ta) has to be divided by a factor 4 (10).

**Fig. 4** — Relative change of the velocity of sound measured using a Ag vibrating reed and a Cu vibrating wire at 250 Hz and 300 Hz, respectively, showing the glass-like behaviour of these polycrystalline metals.

---

**Fig. 2** — Relative change of the velocity of sound in a-SiO₂ (Suprasil-I) at different frequencies. The strans ε for the data taken at 400 Hz are calculated from the signals measured at 10 mK. The departures from the full line calculated according to the standard tunnelling model for zero strain correspond to non-linear behaviour at very low temperatures.
Normal Polycrystalline Metals

The similarity in the acoustic behaviour of polycrystalline superconductors and amorphous dielectrics prompted us to look for indications of glass-like behaviour in simple, normally conducting metals. Fig. 4 shows $\Delta v/\nu$ as a function of temperature for polycrystalline Ag and Cu. The low-frequency, low-temperature acoustic properties are similar to those of a-SiO$_2$ and a-PdSiCu: for the attenuation, there is a plateau between 60 mK and 600 mK followed by a decrease proportional to $\exp(-\Delta E/k T)$, where $\Delta E$ is the width of energy and relaxation times [10]. The results are independent of the polycrystals to those of the dielectric a-SiO$_2$, with the exception that the internal friction of Ag to the lowest temperatures attained so far (an observed dependence of $1/\tau$ on strain for Cu may result from dislocation damping and not from possible glassy behaviour). The observation of glass-like acoustic behaviour in polycrystalline metals has recently been reviewed by M. Klein [9].

Conclusions

The similarity between the acoustic properties of polycrystalline metals (Ag, Cu, Pd), superconductors (Al, Ta, Nb, NbTi), and glasses is undoubtedly more significant than the deviations at finite strain and very low temperatures from the predictions of the standard tunnelling model. It may not be so surprising to find tunnelling systems or low-energy excitations of some kind in a polycrystalline material. However, excitations associated with defects or impurities in polycrystals are expected to have discrete energies, very different from the energy-independent excitation spectrum of glasses. One possibility is that strain interactions between localized atomic defects in a crystalline lattice lead to a broad distribution of energies and relaxation times [10].

However, the perfectly logarithmic increase of the velocity of sound over at least three orders of magnitude in temperature for Ag and Cu, which has no parallel in parallel measurement on glasses, and the close similarity of the temperature and strain dependence of the acoustic properties of the polycrystalline superconductors to those of the dielectric a-SiO$_2$, provide clear support for the existence of a wide distribution of energies and relaxation times. The results thus indicate that glass-like anomalies are far more widely observed than expected; they would seem to question our present understanding of disorder. It remains to be seen if the differences between the low-frequency acoustic properties of a glass (with random disorder) and a polycrystal (with distinct defects) is qualitative instead of merely quantitative, in which case a new theoretical approach rather than an extension of the standard tunnelling model would be needed to explain the observed properties at very low temperatures.


Professor of Molecular and Laser Physics

The Department of Physics at the University of Nijmegen, The Netherlands, invites applicants for the position of professor of experimental physics. Candidates should have proven record of success in carrying out original research in the field of molecular and laser physics. The chosen candidate is expected to develop a new research program that enhances ongoing research in the group on molecular spectroscopy, collision dynamics and the development and application of advanced laser techniques. Participation in applied research is also expected. Present applied projects include trace gas detection and analysis of combustion processes.